

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Harald Michl et al.

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: 10/536,689

Filed: May 27, 2005

For: METHOD AND DEVICE FOR HYDROLYTICALLY
OBTAINING A CARBOXYLIC ACID AND ALCOHOL
FROM THE CORRESPONDING CARBOXYLIC ESTER

Attorney Docket No.: WAS0701PUSA

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment
Commissioner for Patents
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8 (FIRST CLASS MAIL)

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

January 9, 2006
Date of Deposit

William G. Conger
Name of Person Signing

Signature

Accompanying the Information Disclosure Statement is the statement of Dr. Thomas Renner describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

Harald Michl et al.

By: 

William G. Conger

Reg. No. 31,209

Attorney/Agent for Applicant

Date: January 9, 2006

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In re application of :
HARALD MICHL ET AL. :
Serial Number : Group Art Unit:
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For: METHOD AND DEVICE FOR HYDROLYTICALLY OBTAINING A CARBOXYLIC
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:

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir or Madam:

I, Thomas Renner, associated with the preparation and prosecution of the above-identified application, residing at Hugo-Weiss-Str. 114, 81827 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application, in an Office Actio of the German Patent and Trademark Office, in the International Preliminary Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US 4,352,940, cited in the application, is enclosed.

US 5,113,015, cited in the application, is enclosed.

US 5,770,770, cited in the application, is enclosed.

EP 1 220 825, cited in the application, is enclosed.

US 5,417,939, cited in the application, is enclosed.

US 5,470,542, cited in the application, is enclosed.

US 5,536,699, cited in the application, is enclosed.

WO 01/27062 A2, cited in the German Office Action as well as in the Preliminary International Search Report, is enclosed.

Signed this 10th day of June, 2005.

A handwritten signature in black ink, consisting of several fluid, overlapping strokes, positioned above a dotted line.

Dr. Thomas Renner

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/536,689
				Filing Date	May 27, 2005
				First Named Inventor	Harald Michl et al.
				Group Art Unit	Unknown
				Examiner Name	Unknown
				Attorney Docket Number	WAS 0701 PUSA

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Standard ST.07. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number and publication date.
⁶ Standard ST.16. If possible, place a check mark here if English language translation is attached.